# To Elect or Appoint? Evidence from Local Election Administration.\*

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#### Abstract

Whether to elect or appoint public officials is one of the biggest questions facing the design of any democracy. While elections are a core feature of democracy, some argue that elections come at the cost of expertise. In order to assess this claim, I examine the performance of local election officials in the US, who have come under enormous strain in recent years to successfully deliver free and fair elections. Using original data on election administration structures in 1,114 counties across 13 states and over 62 years, I leverage changes over time to credibly measure differences in the election outcomes produced by local election officials based on whether they were elected or appointed. I find that appointed officials out-perform their elected counterparts, increasing voter turnout by over two percentage points and raising registration rates by over one percentage point. This boost in turnout is equivalent to implementing universal vote-by-mail and twice the average effect of a door-to-door canvassing campaign. Furthermore, these findings are not fully explained by changes to resource provision or differences in levels of experience. Instead, appointed officials may be monitored and sanctioned more rigorously than their elected counterparts. My findings speak to the difficulty in advancing and protecting democratic ideals in contemporary US democracy.

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### 1 Introduction

One of the biggest questions facing democracies throughout the ages is deciding which public officials to directly elect and which to appoint. At its most fundamental level, how countries select their chief executive divides them into presidential and parliamentary systems (Lijphart 2012). At America's inception, the founders instituted a system that relied almost exclusively on appointments. Even the president was designed to be chosen indirectly by a deliberative Electoral College. As James Madison argued, "A small number of persons, selected by their fellow-citizens from the general mass, will be most likely to possess the information and discernment requisite to such complicated investigations" (Madison 1788). Jacksonian reformers in the 19th century greatly expanded the practice of directly electing public officials to include senators, judges, and a collection of local offices, whereas Progressive reformers in the early 20th century sought to return local positions to appointments. Scholars have discovered important differences in the ways that appointed and elected officials act, including U.S. senators (Gailmard and Jenkins 2009), trial judges (Gordon and Huber 2007; Huber and Gordon 2004), municipal executives (Hajnal and Trounstine 2014; Lubell, Feiock, and De La Cruz 2009), city treasurers (Whalley 2013), and town assessors (Sances 2016).

In order to assess the effect this may have on elections, a cornerstone of democracy, I examine the performance of local election administrators in the U.S. Unlike any other Western democracy, the U.S. delegates election administration duties to over 8,000 local officials (Hale, Montjoy, and Brown 2015). We depend on them to effectively and efficiently handle the minutiae of elections: registering voters, hiring poll workers, locating polling places, mailing ballots, tallying votes, and certifying results. These administrators have endured intense scrutiny in recent years, especially when former President Trump alleged the 2020 presidential election was stolen. Some officials were pressured to refuse to certify the election results, <sup>1</sup>

<sup>1</sup> https://www.nytimes.com/2020/11/17/us/politics/michigan-certify-election-results.html

and many have received threats of violence over baseless accusations of malfeasance.<sup>2</sup> Some are elected and some are appointed (Kimball, Kropf, and Battles 2006)—a balance that is tipping more heavily towards appointments in recent decades while also becoming increasingly fraught politically (Ferrer and Geyn 2023). Harris County, the third most populous county in the country, was forced this year by the Texas state legislature to switch its chief election official from an appointed to an elected position,<sup>3</sup> whereas Georgia's state government considered taking over the administration of Fulton County, its most populous county, after the legislature passed legislation in 2021 allowing it to do so.<sup>4</sup>

Everyone agrees it is more important than ever that America's local election officials are up to the task of administering free and fair elections. However, we know little about which method—elections or appointments—produce the most effective local election officials. Fortuitously, across-jurisdiction and over-time variation in clerks' selection method allows for a credible estimate of the trade-offs between electing and appointing clerks, as well as the ability to shed light on the broader question of how best to select public officials in the U.S.<sup>5</sup> To do this, I leverage data from every state where at least one county has changed whether it elects or appoints its clerk since 1960. By varying when and where these changes happen, I am able to identify a precise effect on differences in election outcomes. This dataset encompasses 13 states, 1,114 counties, and over 15,000 county-presidential election observations. It also covers more than 40% of the country's population. Additionally, I use measures of voter participation such as turnout and registration rates as my primary outcome. Voter participation is one of the few reliable measures of election quality available over a large span of time. It is also an important one, frequently used in election quality indices such as the MIT Election Performance Index and the Varieties of Democracy Project. More than two-

<sup>&</sup>lt;sup>2</sup>https://www.nytimes.com/2022/09/06/us/politics/midterms-elections-threats-security.html

<sup>3</sup>https://www.nytimes.com/2023/05/28/us/texas-voting-laws-harris-county.html

<sup>&</sup>lt;sup>4</sup>https://georgiarecorder.com/2021/08/18/panel-begins-review-of-fulton-elections-ahead-of-potential-state-takeover/

<sup>&</sup>lt;sup>5</sup>I occasionally refer to local election officials as clerks in shorthand. While clerks are the most common county election officials, there is wide variation in the position title across states and counties.

thirds of election officials consider increasing participation a central component of their job,<sup>6</sup> as does their chief professional organization, the National Association of Election Officials.<sup>7</sup> Finally, local election officials have the ability to influence participation rates given their far-ranging duties and discretion over administrative decisions (Burden et al. 2013; Ferrer, Geyn, and Thompson 2023).

I find that when counties switch from electing to appointing their local election official, voter turnout in presidential elections increases by over 2 percentage points and registration rates increase by over 1 percentage point. These findings are robust to a variety of different estimators; hold across multiple states, offices, years, and reform mechanisms; and are largest in less populous jurisdictions. They are also substantively significant. A 2 percentage point boost to voter turnout among eligible voters in presidential elections is equivalent to implementing universal vote-by-mail (Thompson et al. 2020) or adding 10 days of early voting (Kaplan and Yuan 2020). It is also double the effect size of implementing automatic voter registration (McGhee, Hill, and Romero 2021) or the average door-to-door canvassing campaign (Green, McGrath, and Aronow 2013). The effects are not fully explained by differences in the amount of resources appointed clerks receive nor in the relevant experience they possess. Instead, appointed officials may be monitored and sanctioned more rigorously than elected officials because voters lack the necessary information and viable alternatives at the ballot box to hold them accountable.

This paper speaks to the difficulty in advancing and protecting democratic ideals in contemporary U.S. democracy. In the midst of unprecedented threats to that democracy, precipitously declining trust in elections (Stewart 2021), and increasingly partisan moves to shape election administration (Ferrer and Geyn 2023), this paper also informs ongoing debates over who should run elections in the U.S.

<sup>&</sup>lt;sup>6</sup>2020 Democracy Fund/Reed College Survey of Local Election Officials

<sup>&</sup>lt;sup>7</sup>https://www.electioncenter.org/about-us.php

### 2 Selecting Public Officials

The United States is exceptional in the number of public officials we elect. By one count, there are approximately 520,000 elected officials in the country, with 96% of these politicians elected at the local level (Lawless 2012). I consider why we might expect appointing officials to be preferable to electing officials and the findings of prior scholarship, with a focus on applications to local election administration.

#### 2.1 Why Might Appointments Be Preferable to Elections?

According to political economy theories of governance, elections allow voters to select higher-quality politicians and ensure their accountability to the electorate through the sanctioning mechanism of reelection (Besley 2006; Fearon 1999). In some empirical contexts, it appears that elections do achieve these goals, producing officials who are more competent than the constituents they represent (Dal Bó et al. 2017) and who work harder when they have the incentive of being reelected (Alt, Bueno de Mesquita, and Rose 2011; Ferraz and Finan 2011; Fouirnaies and Hall 2022). In other contexts, however, elections may fail to achieve accountability, lowering the quality of the pool of candidates, creating weak accountability mechanisms, and producing adverse incentives (Sances 2016; Whalley 2013).

First, elections alter the pool of candidates by selecting for those willing to run for office (Anzia and Berry 2011; Hall 2019). The skills that make someone a good politician may not align closely with the factors that make someone a good public official. If this is the case, then the election process itself may select out higher-quality candidates, simply due to the barriers to entry. Elected candidates typically must live within the jurisdiction they are elected to, whereas appointed administrators can be chosen from a broader geographic pool. Additionally, technological advancements and population growth have led many local public duties to become increasingly technical and require expertise, lending credence to the idea that appointed bureaucrats may possess more relevant experience than elected officials

(Hale, Montjoy, and Brown 2015). Local elections are rarely contested (Ferrer, Geyn, and Thompson 2023; Thompson 2020; Yntiso 2021). In the 2020 general election, 78% of all county-level races went uncontested.<sup>8</sup> Whereas long tenures and few challengers could be a sign of voter contentment with the officeholder, it could alternatively mean a breakdown of the accountability mechanism that is essential to ensuring good performance (Besley 2006). If only one candidate is willing to run, this severely limits the ability of voters to select the highest quality candidate and punish them once in office.

Second, low-information and low-salience environments can prevent voters from using elections to effectively monitor officials and sanction them for poor performance (Ashworth and Bueno de Mesquita 2008; Berry and Howell 2007; Besley 2006; Lim and Snyder 2010). In theory, elections should provide voters with a more direct accountability mechanism than appointments (Burden et al. 2013). In the absence of sufficient information, however, voters may be unable to select good candidates in the first place or distinguish between highly and poorly performing election officials, leading them to rubber stamp whoever is in office. Local media has been on the decline over the past few decades (Martin and McCrain 2019) and has increasingly devoted less attention to local politics (Lockhart 2021). This has led to less informed citizens and less competitive local races (Rubado and Jennings 2020). The large number of elected positions may cause voter fatigue and high ballot roll-off, with not many voters making it all the way to the bottom of the ballot where local offices are typically found (Augenblick and Nicholson 2015). Voters might be especially poor judges of performance in complex and technical policy areas (Whalley 2013). The voter information gap for election officials may be particularly acute, as there is poor data availability on the quality of elections and election officials have a portfolio of non-election responsibilities and unintuitive titles that further dilute the ability of voters to effectively monitor and sanction their performance.<sup>9</sup> If public officials are acting rationally, we should expect them to shirk their

 $<sup>^{8}</sup>$ https://organizations.ballotready.org/research/nothing-to-lose-uncontested-races-in-2020-and-their-implications

<sup>&</sup>lt;sup>9</sup>Examples include probate judge in Alabama and Georgia; auditor in Iowa, South Dakota and Washington; and tax assessor in Texas.

duties in these circumstances, since their principals (the voters) lack the tools necessary to effectively monitor and sanction them. The information-poor environment voters face contrasts with the richer information environment that appointed officials' principals typically possess. For instance, appointments for election administrators are typically made by boards of local elites and can include county officers, local party chairs, judges, and county supervisors. Most of the selectors are themselves directly elected and thus have indirect incentives to appoint qualified election officials in order to maximize their own chances of reelection.

Finally, elections may create adverse incentives for officeholders to make politically motivated decisions that are normatively undesirable (Canes-Wrone, Herron, and Shotts 2001). Electing rather than appointing assessors in New York exacerbates economic inequalities (Sances 2016), electing rather than appointing city managers skews economic policies towards the wealthy (Lubell, Feiock, and De La Cruz 2009), and electing rather than appointing municipal assessors in California leads to the adoption of more costly policies and higher borrowing costs (Whalley 2013). If a majority of the voting electorate prefer political outcomes achieved by reducing participation, then elected officials could be incentivized to concentrate costs on certain voters or discourage voting across the board. Appointments do not remove this possibility, but they may counterintuitively insulate officials from the pressures of responsiveness in ways that lead to socially desirable outcomes.

### 2.2 Prior Scholarship on Selection Method

A number of studies have examined the differences between elected and appointed public officials in federal, state, and local contexts. Elected officials tend to be more responsive to their constituents (Gailmard and Jenkins 2009), but participation gaps could cause responsiveness to skew policy outcomes in ways that benefit the wealthy and whites (Hajnal and Trounstine 2014; Lubell, Feiock, and De La Cruz 2009; Sances 2016) and lead to more punitive judicial outcomes (Gordon and Huber 2007; Huber and Gordon 2004). Additionally, appointing local officials has been found to improve policy outcomes in some cases. In

a study of California treasurers, Whalley (2013) finds that municipalities that switched from elected to appointed treasurers enjoyed lower borrowing costs. He concludes that voters may be poorly equipped to judge performance, especially in complex policy areas.

Few studies have examined the question specifically for local election officials. In an audit study of constituent communication rates, elected and appointed officials responded to correspondence at similar rates (White, Nathan, and Faller 2015). A cross-sectional study of Wisconsin election officials found that elected clerks produce higher turnout, although it relied on the assumption that elected and appointed clerks were assigned as-if randomly in the state (Burden et al. 2013). The authors theorize that appointed officials are more insulated from public opinion than elected clerks, and thus pursue their own personal goals or the goals of the county officials who appoint them rather than the goals of the public. Since voters prefer that clerks make voting convenient whereas the appointing officials prefer minimizing costs, appointed clerks should oversee elections with lower turnout.

The sparsity of prior research is surprising given that local election administration in the United States is an ideal setting to test theories of electing vs. appointing public officials. It is highly fragmented, with over 8,000 separate election jurisdictions (Hale, Montjoy, and Brown 2015). As visualized in Figure A.1 in the online appendix, two-thirds of all jurisdictions elect their clerk, including 61% of all counties representing 39% of all voters (Ferrer and Geyn 2023; Kimball, Kropf, and Battles 2006). Additionally, hundreds of jurisdictions across 19 states have experienced changes in the selection method of their local election officials since 1960. This is the first study to take full advantage of the across-jurisdiction and over-time variation, allowing me to credibly estimate causal effects with weaker identifying assumptions than in previous studies.

#### 3 Data and Methods

### 3.1 Measuring the Selection Method of Local Election Officials

I construct original panel data on the selection method of local election officials in 13 states from 1960 to 2022. In total, my dataset covers 62 years of election administration structure for 1,114 counties, encompassing over 15,000 county-presidential election observations.

My sample consists of every state in the United States with at least one county-level change between appointing and electing clerks since 1960. These 13 states are a subset of the 42 states in the country where elections are primarily administered at the county level (Ferrer and Geyn 2023) and combined cover over 40% of the nation's population. They are: Arizona, California, Georgia, Illinois, Indiana, Minnesota, Missouri, Montana, Nebraska, Nevada, Oregon, Texas, and Washington. Table A.1 in the online appendix shows that counties that enter into the dataset are similar to those that are not in the sample. Figure 1 shows which counties enter into the dataset as well as whether they are always appointed, always elected, switch from elections to appointments, switch from appointments to elections, or have undergone multiple changes in selection method. The vast majority of counties that have switched since 1960 have moved from electing to appointing their clerks. In fact, 99.1% of counties switching their selection method have adopted appointments, and 93\% of all singular switches have been in the direction of appointments. Four states in particular stand out for the number of switches: California, Georgia, Minnesota, and Texas. Figure 2 shows when each switch in selection method occurred. Counties have changed their clerk selection method in a staggered and irregular fashion over many decades, with switches accelerating in most states since 2000. Table A.2 in the online appendix details the specific election authority used for each state as was well as the number of counties falling into each clerk selection method category and the first and last year a change occurred.

<sup>&</sup>lt;sup>10</sup>In states with multiple election authorities, I use the selection method for the authority with primary responsibility for administering elections on Election Day, as defined by Ferrer and Geyn (2023). I exclude five counties in Illinois and one in Missouri with nested municipal-level election administration.

The mechanism and character of the changes vary widely across states. Most or all of the changes in California, Oregon, and Washington are due to the implementation of home rule charters. Minnesota, Montana, and Texas devolve the power to switch selection methods to their counties, whereas California and Georgia typically require the passage of state legislation to enable a change. Some counties in California, Minnesota, Montana, and Washington have held binding referendums to initiate the reform, and several Midwestern states have population thresholds at which appointing their election official becomes possible or required. Reasons counties state for making the switch include difficulty finding qualified candidates for office, <sup>11</sup> a desire to professionalize the job, increasing efficiency and streamlining services, <sup>12</sup> creating a dedicated position for election administration, <sup>13</sup> or simply following in the footsteps of other counties in the state. <sup>14</sup> Who receives appointing authority also varies, as well as whether they appoint an individual or a board (Ferrer and Geyn 2023).

Local election officials in all of these states are entrusted with broad statutory authority to conduct elections (Ferrer, Geyn, and Thompson 2023). For instance, probate judges in Georgia determine precinct divisions, handle nomination petitions of candidates, publish notices and advertisements of elections, select and equip polling places, purchase and maintain election equipment, conduct early in-person voting, appoint and train poll officers, inspect the conduct of elections, receive and certify election results, prepare a budget estimate and appropriations request, conduct hearings to determine the eligibility of candidates, and administer photo ID provisions. Most clerks also handle registration administration and voter list maintenance duties, although these responsibilities are divided in Arizona, Georgia, and parts of Texas.

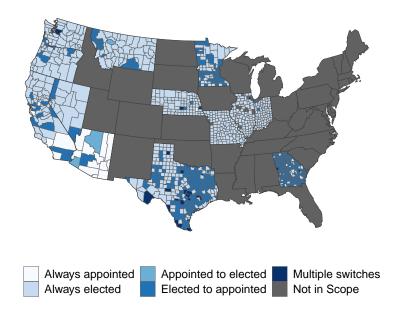
<sup>&</sup>lt;sup>11</sup>https://www.fairmontsentinel.com/news/local-news/2023/07/19/faribault-county-looks-to-appoint-auditor-treasurer/

<sup>12</sup>https://maplelakemessenger.com/2020/12/wright-county-considers-changing-auditor-treasurer-from-elected-to-appointed/

<sup>&</sup>lt;sup>13</sup>https://www.timesrecordnews.com/story/news/local/2023/06/05/wichita-county-to-hire-election-administrator/70289429007/

<sup>&</sup>lt;sup>14</sup>https://www.unionrecorder.com/news/commissioners-discuss-possibly-creating-a-board-of-elections/article\_43508cfc-6718-11ee-a035-13c8d8908b19.html

Figure 1: Local Election Administration Selection Methods, 1960-2022. This graph displays over time change in the selection method of county election officials across all states with county-level administration where at least one change has occurred since 1960.

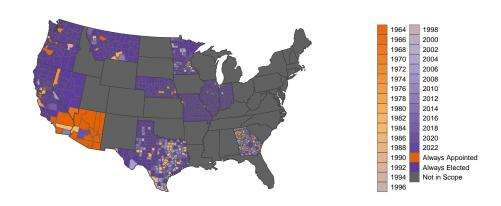


I use a combination of sources in order to identify the selection method of election officials across the dataset, including municipal and state legislative databases, home rule charters, newspaper archives, web scraped internet archives, Blue Book directories, Open Records Requests, and email and phone correspondence with state and local election officials.

#### 3.2 Data

I use presidential and midterm participation rates as my primary outcome measure. I focus on turnout and registration rates for four reasons: local election officials have the ability to influence participation levels, they view increasing participation as part of the job, voter participation is a key component of election quality metrics, and I have access to high-quality data on participation rates. First, election officials typically have far-ranging duties and a significant degree of discretion in carrying out these duties (Ferrer, Geyn, and Thompson 2023). Some studies have found that clerks of different parties influence turnout rates (Bassi, Morton, and Trounstine 2009; Burden et al. 2013; but see Ferrer, Geyn, and Thompson 2023).

Figure 2: Local Election Administration Selection Cohorts, 1960-2022. This graph displays the year county-level switches occurred between electing and appointing local election officials since 1960. In most cases, this switch is from electing to appointing the local election official. In counties where multiple switches occurred, the year of the first switch is reflected.



son 2023). Second, according to the 2020 Democracy Fund/Reed College Survey of Local Election Officials, over 67% of local election officials agree that encouraging voter turnout is part of their job, compared with fewer than 10% who disagree. This is reflected in the National Association of Election Officials, which lists increasing participation as one of main considerations for election officials. Third, participation rates are widely viewed as a key measure of election quality and, more broadly, an important indicator of the health of a nation's democracy. MIT's Election Performance Index uses both voter turnout and voter registration in comparing election administration performance across states, <sup>16</sup> and Varieties of Democracy (V-Dem) uses electoral participation as one of its key indicators of democratic health. Finally, high-quality data for both turnout and registration rates exist at the county level and, particularly in the case of voter turnout, are available going back many

<sup>&</sup>lt;sup>15</sup>https://www.electioncenter.org/about-us.php

<sup>16</sup>https://elections.mit.edu/#/data/map

<sup>17</sup>https://www.v-dem.net/static/website/img/refs/codebookv12.pdf

decades. This is not true of any other indicator of election quality, including voter confidence, voter wait times, polling place relocations, and constituent communication.

Data on county-level vote totals is from Congressional Quarterly and David Leip's U.S. Election Atlas. It spans from 1968 to 2022.<sup>18</sup> I use data on registration totals from Leip's Election Atlas. This covers presidential elections from 1996 and gubernatorial elections from 2004. I measure voting age population, the denominator in turnout and registration measurements, using estimates from the National Cancer Institute's Surveillance, Epidemiology, and End Results Program, which is available from 1970.<sup>19</sup> I measure registration rate by dividing total registrants by the voting age population.

I assemble a set of county-level indicators of election administration policy using the U.S. Election Assistance Commission's Election Administration and Voting Surveys (EAVS) from 2004 to 2020. This survey measures outcomes in every even-year general election for each county. I use this survey to measure the number of polling places per 1,000 people, provisional ballots cast, provisional ballots rejected, absentee ballots rejected, and the number of registrants removed from the voter roll. Following Ferrer, Geyn, and Thompson (2023) and Pettigrew (2017), I use data from the Cooperative Congressional Election Study to measure the share of voters who had to wait at the polls for more than 30 minutes. This is available for general elections in 2006, 2008, and 2012–2018.

Finally, I conduct a series of mechanism estimations using data on election administration expenditures from Mohr et al. (2018) and on the prior experience of local election officials using the 2020 Democracy Fund/Reed College Survey of Local Election Officials.<sup>20</sup>

<sup>&</sup>lt;sup>18</sup>I exclude Loving county, Texas from the analysis because its population is too small to reliably estimate participation rates. I also exclude Alpine, CA and Storey, NV due to data inconsistencies.

<sup>&</sup>lt;sup>19</sup>This data includes some voting-age residents who may be ineligible to vote due to citizenship status or criminal record. While this may make some estimates noisier, it is unlikely to introduce bias since few people decide where to live based solely on the selection method of a county's local election official. The data I use is available at https://seer.cancer.gov/popdata/. I extrapolate the estimate to 1968 and to 2022.

<sup>20</sup>https://evic.reed.edu/leo-survey-summary/

#### 3.3 Design

It is difficult to estimate the effect of local election administrator selection because counties that appoint officials likely differ from those that elect officials for a host of reasons beyond the selection method of the election official and in ways that are likely to affect participation rates. Table A.3 in the online appendix shows some of the differences between counties that appoint their clerk vs. those that elect their clerk. For instance, populous, dense, and racially diverse counties are all more likely to appoint their election officials than sparsely populated, rural, and mostly white counties (Ferrer and Geyn 2023). They also tend to have lower participation rates (Leighley and Nagler 2017). Similarly, counties in Western states tend to elect their officials and also tend to have higher turnout rates than counties in other regions (Springer 2014). Given these correlations, a simple cross-sectional analysis of counties would result in a relationship between appointed officials and lower turnout—but this would not be evidence that appointing officials causes lower turnout. Even if all of these obvious differences are controlled for, there are likely unobservable factors that make counties different in ways that happen to correlate both with their participation rate and the selection method of their clerk.

I overcome this issue with a difference-in-differences research design. I leverage county-level changes in clerk method across 13 states to credibly measure the effects of a switch on participation. The design compares the change in turnout when a county switches from electing to appointing its election official to the change in turnout in other counties in the same state that continue electing clerks. So long as year-to-year differences in turnout are commonly experienced across a state and not indirectly related to switches in clerk selection method, I can be confident that an observed difference in turnout in the counties that switch to appointed clerks is due to the selection method itself.

I estimate the regression  $Y_{it} = \alpha_i + \delta_t + \beta Appointed_{it} + \epsilon_{it}$ , where  $Y_{it}$  is a measure of voter turnout or registration in county i at election year t,  $\alpha_i$  and  $\delta_t$  are county and year fixed effects, respectively, and  $Appointed_{it}$  is a dummy variable taking 1 when counties appoint

their local election official and 0 when counties elect their local election official.  $\beta$  is the causal effect of an appointed election official on voter turnout.

The causal interpretation of the difference-in-differences design rests on the parallel trends assumption. This means that counties that switch to appointed clerks are on similar turnout trajectories to those that do not switch, prior to the reform. It is possible to imagine that counties that switch to appointed officials are growing at more rapid rates than those that stay with elected officials, and that turnout is trending down as a result. In this case, appointed officials might be viewed as a way to professionalize the county's election administration. Similarly, selection method might become a partisan issue. If more Democratic counties start to adopt appointed clerks, and Democrats reduce or increase their turnout relative to Republicans, then this would also result in the appearance of a causal relationship between appointments and turnout that was spurious.

All regressions include at the minimum Year by State fixed effects. This ensures that comparisons are only made between counties in the same state, addressing the possibility that states may be on different turnout trajectories. I further address parallel trending concerns by incorporating two additional sets of interacted fixed effects: Year by State by Democratic vote share and Year by State by Population fixed effects. The Year by Democratic vote share fixed effect compares within-county over time change to other counties with similar partisan makeup, whereas the Year by Population fixed effect compares within-county overtime change to other counties with similar populations. These account for the possibility that counties that switch their election administration may also happen to shift either population or partisan trends in ways that are systematically related to turnout. Democratic vote share and population are both divided into quartiles and measured pretreatment for each state.<sup>21</sup>

Even with these interacted fixed effects, it is still possible there are unobserved reasons why counties that switch to appointed clerks are on a different turnout trajectory than those that maintain elected offices. I address these concerns by conducting a generalized synthetic

<sup>&</sup>lt;sup>21</sup>I measure Democratic vote share as votes for the top-ticket Democratic candidate divided by votes for the top-ticket Democratic and Republican candidates.

control matching exercise to ensure that counties that switch are only compared to those that do not with similar pretreatment turnout trajectories.

#### 4 Results

In this section, I present evidence that appointing rather than electing clerks results in increased turnout and registration rates. I then validate these findings using a range of alternative estimators, examine the validity of the parallel trends assumption, conduct a placebo analysis using registration rates, and distinguish between the effects of selection method and partisanship.

#### 4.1 Appointing Election Officials Increases Voter Participation

Table 1 displays the results of a two-way fixed effects regression estimating the effects of appointing rather than electing a local election official on citizen participation. Columns 1 through 3 estimate the effects on votes per voting-age resident and columns 4 through 6 estimate the effects on registrants per voting-age resident. Both are measured as proportions out of 1. The coefficients are the average percentage point difference in turnout and registration rates when counties switch from elected to appointed clerks. All six regressions include county and year by state fixed effects. This means that comparisons are made on within-county changes in participation, relative to changes in other counties in the same state and year. This ensures that differential participation trends between states are not driving the results. I cluster robust standard errors by county.

Column 1 shows that counties switching from directly elected to appointed election officials see an average increase in presidential voter turnout of 2.1 percentage points, compared with counties that do not switch. The point estimate is precisely estimated, allowing us to confidently rule out effects of less than 1.3 percentage points. It is also substantively meaningful. The effect size for turnout in presidential elections is on par or larger than those

Table 1: Appointing Local Election Officials Increases Citizen Participation (Presidential Elections, 1968-2020)

	Voter Turnout			Registration Rate		
	(1)	(2)	(3)	(4)	(5)	(6)
Appointed	0.021	0.021	0.017	0.011	0.013	0.009
	(0.004)	(0.004)	(0.004)	(0.005)	(0.005)	(0.005)
Counties	1114	1114	1114	938	938	938
Elections	14	14	14	6	6	6
Observations	15498	15498	15498	6549	6549	6549
Outcome Mean	0.57	0.57	0.57	0.85	0.85	0.85
County FEs	Yes	Yes	Yes	Yes	Yes	Yes
Year x State FEs	Yes	No	No	Yes	No	No
Year x State x Dem vote share FEs	No	Yes	No	No	Yes	No
Year x State x Population FEs	No	No	Yes	No	No	Yes

Robust standard errors clustered by county in parentheses. Voter turnout and registration rate are measured as proportions out of 1. The number of observations is smaller in columns 4-6 because Arizona and Georgia are excluded and because turnout data is available from 1968 but registration data is only available from 1996.

generated by the most significant modern policy interventions designed to boost voter participation. It is equivalent to implementing universal vote-by-mail (Thompson et al. 2020) or adding 10 days of early voting (Kaplan and Yuan 2020). It is also double the turnout boost caused by implementing automatic voter registration (McGhee, Hill, and Romero 2021). This effect size is also significant compared to get-out-the-vote interventions. It is twice the average turnout effect of door-to-door canvassing, three times that of a direct mailing, and five times that of a phone call campaign (Green, McGrath, and Aronow 2013).

It could be the case that counties with similar partisan compositions were on the same participation trajectory prior to their shift in selection method. I introduce year by state by Democratic vote share fixed effects to alleviate this concern. In column 2, differences in within-county turnout shifts are only made between counties in the same state, year, and partisan makeup. The result is similar under this estimation strategy. The inclusion of year by state by population fixed effects in column 3 makes comparisons between counties of similar sizes within the same state, and yields similar results.

It also appears that appointed election administrators oversee elections with higher registration rates. Arizona and Georgia are excluded from these specifications because registration duties are always undertaken by appointed registration boards. The coefficients range from 0.9 to 1.3 percentage points in magnitude, and a null of no difference can be confidently ruled out in two of the three estimators.

These estimations provide strong evidence that appointed clerks increase voter participation in presidential elections, relative to their directly elected counterparts. Regressions including U.S. senate and gubernatorial contests are found in Section A.5 and yield substantively similar findings. Table A.5 in the online appendix shows the results are also robust to the use of different criteria in constructing the panel data of election official selection methods.

The results hold in multiple states, across multiple offices, for multiple reform mechanisms, and over multiple years and date ranges. In Table A.6 in the online appendix, I show that switching to an appointed election administrator increases voter turnout in three of the four states with at least 10 counties experiencing switches (Georgia, Minnesota, and Texas), and is imprecisely estimated in the fourth case (California). One concern is that the effect only holds for certain elected offices. Table A.7 in the online appendix shows that switching from elected probate judges, auditors, and clerks to appointments increases voter turnout. An additional concern is that the boost to turnout is an artifact of the way the reform in selection method was initiated and therefore not exogenous. In Table A.8 in the online appendix, I show that both county- and state-initiated reform mechanisms lead to a boost in turnout, and that the findings are robust to excluding the few cases where the change was packaged with unrelated reforms. I also run a series of Callaway and Sant'Anna (2021) regressions to estimate the dynamic effects of switching from an elected to an appointed election official. These results, found in Section A.9 of the online appendix, show that the positive effects of appointments on voter turnout appear over time and across mul-

tiple county cohorts and time periods.<sup>22</sup> Finally, it is possible that low rates of turnout among African-Americans in the South due to the lingering effects of repressive Jim Crow restrictions confound the results. I show in Table A.10 in the online appendix that the results hold when only examining more recent elections.

I use EAVS and CCES data to explore whether appointed election officials pursue different election administration policies. The results, found in Section A.11 of the online appendix, suggest that appointed and elected administrators run elections with similar numbers of polling places per 1,000 residents, provisional ballot usage, provisional rejection rates, absentee ballot rejection rates, registration removal rates, and voting wait times.

# 4.2 Validating the Effect of Appointing Election Officials on Voter Turnout

In this section, I validate my main finding that appointed local election officials produce higher voter turnout than directly elected officials. I utilize alternative difference-in-difference estimators and employ a generalized synthetic control method which relaxes the assumptions needed for causal inference. These estimators show the results to be robust to a range of specifications.

#### 4.2.1 Validating the Staggered Rollout Design

Recent scholarship has identified potential problems with the standard two-way fixed effects estimator when used in staggered adoption designs (Baker, Larcker, and Wang 2022; Borusyak, Jaravel, and Spiess 2021; de Chaisemartin and D'Haultfœuille 2020; Callaway and Sant'Anna 2021). These issues stem from heterogeneous treatment effects. If treatment effects vary across time or units, the estimate will be biased due to the assignment

<sup>&</sup>lt;sup>22</sup>This provides evidence that the positive effects of appointment are not simply due to a novelty or Hawthorne-style effect in the immediate aftermath of a change. Unfortunately, I am not able to reliably estimate the effects of switching from appointed to elected clerks due to the small number of counties that have switched in this direction.

of negative weights to some comparison groups. This is because units that switch early on from control to treatment are treated as controls in some comparisons and subtracted from the difference-in-difference estimator, even if they continue to experience dynamic treatment effects.

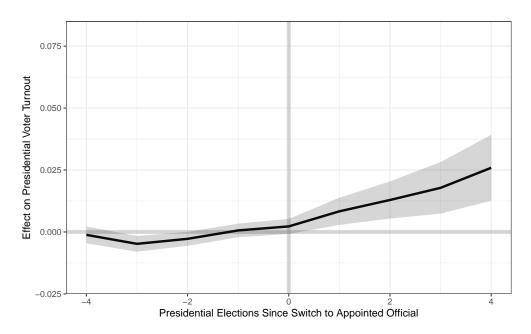
To validate my main findings, I test a range of alternative specifications in Table A.12 in the online appendix, including removing counties that switch from appointments to elections, removing counties that use appointments throughout the dataset, and using stacked difference-in-difference estimators. All specifications result in precisely estimated effects on turnout between 2.1 and 3.5 percentage points. I also employ the de Chaisemartin and D'Haultfœuille (2020) estimator and the Callaway and Sant'Anna (2021) dynamic effects estimator, separating the results by state to ensure that differential trending between states does not introduce bias. The results are consistent with those shown in Section A.7 and are found in Section A.12.2 in the online appendix.

#### 4.2.2 Generalized Synthetic Control

An underlying concern of the difference-in-difference estimation strategy is that treated and control units do not look like one another. If the places that switch from electing to appointing election officials are fundamentally different on some unobserved characteristics, then this will undermine the causal validity of the regression specification. Figure A.14 in the online appendix investigates the validity of the parallel trends assumption using the Dube et al. (2022) local projections difference-in-differences event studies estimator and reveals modest evidence of pre-trending. One way to overcome this concern and relax the parallel trends assumption is through the generalized synthetic control method. This estimation re-balances the data sample by matching treated and untreated units to ensure that treated units look like control units pre-treatment. Figure 3 displays output from the Xu (2017) generalized synthetic control estimation. The line in the left-hand side of the figure is close to 0, showing that the matching strategy was successful. It becomes positive in the right-

hand side of the figure and is statistically distinguishable from 0. This provides additional evidence that appointed election officials administer elections with higher turnout than their elected counterparts. As shown in Table A.17 in the online appendix, it produces a precisely estimated effect size of 2.1% on voter turnout, in line with the main estimates found in Table 1.

Figure 3: Estimated ATT of Generalized Synthetic Control. This graph displays a generalized synthetic control method of the two-way fixed effects regression estimating the effect of appointing local election officials on presidential voter turnout. The specification includes two-way additive county and year fixed effects, cross-validation to select the number of unobserved factors within the interval of 0 and 2 presidential elections, and a parametric bootstrap with 1000 samples. The black line is a dynamic estimated ATT effect of appointing an election official on turnout and the band is a 95% confidence interval.



# 4.3 Appointing Election Officials Boosts Registration Rates More when Their Duties Specifically Include Registration

I run a placebo test examining whether switching to appointed officials increases registration rates more in states where their duties specifically include registration. The results, found in Appendix A.15, show suggestive evidence that counties experience a larger boost in registration rates when the official directly in charge of registration duties switches from an elected to an appointed position.

#### 4.4 Does Selection Method or Partisanship Explain the Results?

Are the observed effects the result of a switch from elected to appointed clerks, or are they due to the switch from an openly partisan office to an ostensibly nonpartisan position? The results in Table 1 present a bundled treatment of both selection method and partisanship. The partisan nature of elected office could lead clerks to act in ways that differ from their nonpartisan appointed counterparts—for instance, by attempting to alter turnout to advantage co-partisans. Georgia, Missouri, and Texas's long histories of race-based disenfranchisement, the strong association between race and partisanship (Abramowitz and McCoy 2019; Carmines and Stimson 1989), and the present efforts of Republican politicians to increase barriers to the ballot box all contribute to the possibility that adverse policy responsiveness rather than quality differences could explain the divergence between appointed and elected election officials.

Georgia, Montana, and Washington's history of county-level changes between elected partisan, elected nonpartisan, and appointed election officials provides an opportunity to disentangle the effects of selection method and partisanship. Table 2 displays estimations of voter turnout separating out the effects of appointments and partisan elections, with the omitted category elected nonpartisan officials. The results provide strong evidence that elections themselves, and not the partisan nature of the office, drive the main results on voter turnout. All of the estimated positive effect on turnout is observed for a switch from elected to appointed administration, whereas the effect of switching between partisan and nonpartisan administration is negative and indistinguishable from zero.

Table 2: Appointments, Rather than Partisanship, Drive the Effects on Voter Turnout (Presidential Elections, 1968-2020)

	Voter Turnout				
	(1)	(2)	(3)		
Appointed	0.019	0.019	0.015		
	(0.005)	(0.005)	(0.005)		
Partisan Elected	-0.003	-0.004	-0.003		
	(0.005)	(0.006)	(0.006)		
Counties	1114	1114	1114		
Elections	14	14	14		
Observations	15486	15486	15486		
Outcome Mean	0.57	0.57	0.57		
County FEs	Yes	Yes	Yes		
Year FEs	Yes	No	No		
Year x Dem vs FEs	No	Yes	No		
Year x Pop FEs	No	No	Yes		

Robust standard errors clustered by county in parentheses. The omitted category is selection through non-partisan elections.

# 5 Why Does Appointing Election Officials Increase Voter Participation?

I begin this section by documenting that the effects of switching from elected to appointed officials is concentrated in small-population counties. I then explore three potential explanations for my findings: election resources, quality differences, and monitoring and sanctioning capacity. Adopting appointed clerks leads to more election resources, but not especially for smaller jurisdictions. Additionally, there are not notable differences in relevant experience between appointed and elected officials. Rather, it is likely that appointed officials produce higher turnout than elected officials because voters are unable to effectively monitor and sanction election administrators.

#### 5.1 Are the Effects Largest in Small Jurisdictions?

Are the effects spread evenly across jurisdictions, or do they concentrate in jurisdictions of a certain population? In counties where local election officials have fewer deputies, the actions of the chief official could have a greater impact on participation rates. Table 3 displays the results of difference-in-difference regressions similar to Table 1, except that I test the magnitude of the difference in effect between less and more populous counties. A "small county" is defined as ranking in the bottom half in population compared to other counties within the same state. The top row is the effect of switching to appointed election officials for populous counties, and the bottom row is the additional effect of switching to appointments for relatively less populous counties. It is apparent that the effects are largest in small counties. Appointed election officials in less populous jurisdictions produce turnout rates that are between 2.7 and 2.9 percentage points higher than their elected counterparts, compared with 1.1 to 1.3 percentage points higher in more populous jurisdictions. A similar pattern is found with registration rates, with effect sizes in smaller counties roughly double those found in large counties.

These findings are in line with three potential explanations: that smaller jurisdictions enjoy an especially large boost in resources when switching to appointed officials, that smaller jurisdictions face hurdles to candidate recruitment that are alleviated with appointments, or that failures of electoral accountability are most acute in smaller jurisdictions. I explore these explanations below.

# 5.2 Do Appointed Election Officials Increase Election Administration Expenditures?

One explanation for the observed effect is that switching to appointed officials boosts election administration resources, and that this leads to increased turnout. Sufficiently funding elections is essential to ensuring high quality administration (Mohr et al. 2019, 2020; Kropf

Table 3: Appointing Local Election Officials Increases Citizen Participation Especially in Small Counties (Presidential Elections, 1968-2020)

	Voter Turnout			Registration Rate		
	(1)	(2)	(3)	(4)	(5)	(6)
Appointed	0.013 (0.004)	0.011 (0.004)	0.013 (0.004)	$0.005 \\ (0.005)$	0.004 $(0.005)$	$0.006 \\ (0.005)$
Appointed X Small County	0.014 $(0.008)$	0.018 $(0.008)$	0.014 $(0.008)$	0.008 $(0.010)$	0.013 $(0.010)$	0.005 $(0.010)$
Counties	1112	1112	1112	938	938	938
Elections	14	14	14	7	7	7
Observations	15483	15483	15483	6549	6549	6549
Outcome Mean	0.57	0.57	0.57	0.85	0.85	0.85
County FEs	Yes	Yes	Yes	Yes	Yes	Yes
Year x State FEs	Yes	No	No	Yes	No	No
Year x State x Dem vs FEs	No	Yes	No	No	Yes	No
Year x State x Pop FEs	No	No	Yes	No	No	Yes

Small counties rank in the bottom half in population compared to other counties within the same state. Robust standard errors clustered by county in parentheses. The number of observations is smaller in columns 4-6 because Arizona and Georgia are excluded and because turnout data is available from 1968 but registration data is only available from 1996.

et al. 2020; McGowan et al. 2021). Burden et al. (2013) argue that appointed officials are less able to advocate for more resources than their elected counterparts and therefore administer elections with fewer resources. However, it is possible that in smaller jurisdictions switching to a dedicated appointed local election official increases the amount of full-time equivalent (FTE) employees who work in election administration. Appointed officials' sole job is to effectively administer elections. In comparison, most directly elected local election officials in the U.S. undertake additional responsibilities beyond election administration. County clerks have a variety of non-election duties such as maintaining legislative/judicial records and recording vital documents. Other offices, such as tax assessors (used in South Dakota and some Texas counties) and probate judges (used in Alabama and Georgia) have more substantial non-election duties. This resource difference is likely to be greatest in less populous counties, where sometimes only a single official administers elections. According to the 2020 Democracy Fund/Reed College Survey of Local Election Officials, 34 percent

of jurisdictions have no full-time election administrators and 17 percent have exactly one  ${\rm FTE.^{23}}$ 

I use jurisdiction election administration expenditure data from Mohr et al. (2018). This dataset includes estimated yearly expenditures for each county in Arizona, California, Georgia, Minnesota, Missouri, Nebraska, and Nevada starting from as early as 2002, although there is significant missingness and high within-county variance. This enables the use of a difference-in-differences regression design to credibly estimate the effect of switching to appointed election officials on logged total election expenditures. Table 4 displays the results. The first three specifications test the total effect of appointments on election expenditures, and the latter three test whether less populous counties enjoy a larger boost in resources than more populous counties. The point estimates are large and statistically distinguishable from zero. The coefficient in column 1 means that when counties switch to an appointed election official, their election expenditures increase by approximately 43 percentage points on average. However, the effects are similar across less and more populous jurisdictions. These results should be interpreted cautiously given data quality issues. While they may be one reason appointed officials produce higher turnout than elected officials, they do not explain the heterogeneity in effect size due to population.

# 5.3 Do Appointed Election Officials Possess More Relevant Experience?

Perhaps appointed local election officials are more equipped for the job than their elected counterparts. This could be due to some failure in elections that prevent voters from selecting the most qualified individuals—for instance, because of a limited pool of viable candidates, lack of contested elections, aversion of experienced officials to elections, or the absence of high-quality information. It could also be due to geographic restrictions imposed by elections.

 $<sup>^{23} \</sup>rm https://evic.reed.edu/leo-survey-summary/$ 

Table 4: Appointing Local Election Officials Increases Election Expenditures (Presidential Elections, 2004-2016)

	Log Total Election Expenditures					
	(1)	(2)	(3)	(4)	(5)	(6)
Appointed	0.357	0.362	0.389	0.334	0.362	0.353
	(0.126)	(0.118)	(0.120)	(0.160)	(0.144)	(0.156)
Appointed X Small County				0.063	0.009	0.097
·				(0.252)	(0.228)	(0.239)
Counties	415	415	415	413	413	413
Elections	4	4	4	4	4	4
Observations	1049	1049	1049	1045	1045	1045
Outcome Mean	11.87	11.87	11.87	11.87	11.87	11.87
County FEs	Yes	Yes	Yes	Yes	Yes	Yes
Year FEs	Yes	No	No	Yes	No	No
Year x Dem vs FEs	No	Yes	No	No	Yes	No
Year x Pop FEs	No	No	Yes	No	No	Yes

Small counties rank in the bottom half in population compared to other counties within the same state. Robust standard errors clustered by county in parentheses. Data is from Mohr et al. (2018) and is only available for Arizona, California, Georgia, Minnesota, Missouri, Nebraska, and Nevada.

I use the 2020 Democracy Fund/Reed College Survey of Local Election Officials, a nationwide poll of election officials, to examine whether elected and appointed officials differ on important indicators of quality. Table 5 displays the output of regressions estimating potential experience differences between appointed and elected clerks. All specifications include state fixed effects and both log population and log population squared controls. These ensure that comparisons are only made between appointed and elected officials who oversee elections in similarly sized jurisdictions within the same state. Any differences that arise are likely due to the selection method itself rather than inherent differences in the places that elect and appoint clerks.

There are few observable differences between appointed and elected officials. Appointed officials have shorter tenure lengths on average than elected officials and they also possess fewer years of experience in election administration. They tend to possess more education and slightly more professional memberships, and are more likely to have served in a dif-

Table 5: Appointed and Elected Local Election Officials Possess Similar Relevant Experience

	Tenure (Years) (1)	Years in administration (2)	Education (3)	Professional Memberships (4)	Served Elsewhere (5)
Appointed	-1.884 (1.205)	-2.181 (1.743)	0.336 (0.209)	0.039 (0.085)	0.028 (0.043)
States	44	44	44	44	44
Observations	698	653	581	699	664
Outcome Mean	8.76	15.25	2.86	1.17	0.15
State FEs	Yes	Yes	Yes	Yes	Yes
Log Pop	Yes	Yes	Yes	Yes	Yes
Log Pop squared	Yes	Yes	Yes	Yes	Yes

Robust standard errors clustered by state in parentheses. Data is from the 2020 Democracy Fund/Reed College Survey of Local Election Officials and is filtered to only include chief local election officials. County is imputed from zip code to calculate population controls. Observations are weighted to be representative of the population of local election officials. Column 1 measures tenure with right-truncated values of greater than 20 years at 20 years and left-truncated values of less than one year as 0 years. Column 2 measures average experience working in election administration. Column 3 measures educational attainment on a 5-point scale: high school, some college, college, some graduate school, and graduate school. Professional memberships counts the number of memberships among the following four organizations: state association of local election officials, regional and/or local association of election officials, the Election Center (National Association of Election Officials), and the International Association of Government Officials (iGO). Column 5 measures whether clerks have served as election officials in other jurisdictions. Table A.12 in the online appendix includes all coefficients.

ferent election jurisdiction. However, none of these differences attain conventional levels of statistical significance. The point estimates for average tenure and years in administration are substantively meaningful and suggest that appointed officials experience higher turnover than elected officials. Regressions run with a 5-category jurisdiction size fixed effect instead of the population controls produces similar results and are found in Section A.16 of the online appendix. In short, experience differences do not explain why appointing clerks leads to higher turnout.

# 5.4 Are Elected Election Officials Inadequately Monitored and Sanctioned?

Previous scholarship has shown that elections sometimes fail to achieve accountability, especially for local offices (Sances 2016; Whalley 2013). Contrary to the notion that highly localized democracy ensures accountability, the evidence presented here suggests that appointments might achieve preferable outcomes, especially in the smallest jurisdictions. I examine two facets of accountability: voters' access to information about local election officials and contestation rates for clerks.

If failures of electoral accountability are driving the results, these are likely to be largest in less populous jurisdictions. Smaller jurisdictions are likely to have less congruent media markets (Snyder Jr. and Strömberg 2010), meaning that there is less information available about the candidates and thus fewer opportunities for voters to electorally sanction clerks for shirking their duties. Additionally, election officials receive minimal news coverage compared with other elected offices. A ProQuest Newspaper search from 2000–2022 returns 88,047 hits for "clerk" and only 13,818 hits for "county clerk". In comparison, a search for "mayor" returns 585,359 hits. Searches for "Senator", "Representative", and "Governor" return 281,455, 309,393, and 400,086 hits, respectively. A similar trend emerges when examining state-specific newspaper archives. Using Georgia Historic Newspapers from the Digital Library of Georgia, a 1960–2022 search for "probate judge" returns only 20,907 hits, "election superintendent" only 11,608, and "election supervisor" only 5,260. In comparison, "mayor" gets 50,417 hits, "Senator" over 55,655 returns, "governor" 42,356 results, and "Board of Commissioners" over 50,000 mentions. A search using The Portal to Texas History database returns 52,347 hits for "county clerk" and only 37,490 hits for "tax assessor". In contrast, "sheriff" returns 122,303 hits, "mayor" returns 139,262 results, and "governor" returns nearly 120,000 hits. In summary, it appears voters have access to less information about their election officials than other elected offices.

Even if voters have access to high-quality information, it matters little if they do not have a choice at the ballot box. Contestation rates for most local races are low (Thompson 2020; Yntiso 2021). Ferrer, Geyn, and Thompson (2023) find that only 23% of general election races for local election official feature a contest between a Democrat and a Republican, and only 12% of all contests result in a race with a margin of victory of less than 20 percentage points. Less populous counties have smaller candidate pools and are thus likely to have more uncontested elections.

In summary, voters rarely have access to much information about their local election official, and they also rarely have an alternative choice on the ballot. Both of these factors contribute to an inability to properly monitor and sanction elected clerks. In contrast, local elites have access to higher-quality information about those they hire and, in Madison's words, the "discernment" to properly use that information (Madison 1788). Appointed officials are subject to periodic review and can be dismissed if performing inadequately. The fact that appointed officials tend to have shorter tenures and thus higher turnover rates than elected officials in similar jurisdictions, shown in Table 5, is suggestive evidence of stronger monitoring and sanctioning.

### 6 Conclusion

Across America's history, democracy-minded reformers have tinkered with the selection method of government offices in an attempt to improve the accountability and performance of its public servants. In recent years, this practice has spread to local clerks, who are facing unprecedented attacks from former President Trump and his supporters and immense pressure to deliver free and fair elections. States are increasingly shaping the administrative structures of local jurisdictions for seemingly partisan ends, affecting who controls elections for millions of Americans. These decisions could have significant consequences for the quality of elections and the timely and accurate certification of election results—something that

came close to not happening in the 2020 presidential election.<sup>24</sup> Yet we have lacked the ability to effectively adjudicate between selection methods.

Using original data from 13 states, spanning 1,114 counties across 62 years, I show that when counties switch from electing to appointing their clerks voter participation rates increase substantially. The boost to voter turnout is equivalent to or larger in magnitude than the most substantial convenience reforms designed to raise participation, such as implementing universal vote-by-mail (Thompson et al. 2020) and automatic voter registration (McGhee, Hill, and Romero 2021). It is two to five times the effect of get-out-the-vote interventions such as door-to-door knocking, mailings, and phone calls (Green, McGrath, and Aronow 2013). The findings are robust to alternate specifications, hold across multiple time periods and states, and are not fully explained by differences in election resources or the quality of officeholders. Rather, it appears that appointed officials are more rigorously monitored and sanctioned than their elected counterparts.

These findings add to a growing literature on the limits of elections in ensuring accountable officeholders (Ashworth 2012). Elections are designed to achieve accountability between officeholders and the public. When voters have access to high-quality information, can make a choice between multiple candidates, and are able to effectively sanction an officeholder who shirks their duty, agents will be incentivized to perform their best in order to win another term in office. However, if voters do not have access to adequate information or a sufficient choice on election day, there is little they can do to demand accountability from elected officials. The findings are in line with studies that have found that appointing other local offices, such as municipal assessors, treasurers, and managers, leads to preferable policy outcomes (Hajnal and Trounstine 2014; Sances 2016; Whalley 2013). Taken together, the results suggest that elections for local offices may counter-intuitively fail to ensure accountability or create adverse accountability effects that have undesirable policy consequences. This is especially true considering information environments at the local level continue to deteriorate

<sup>&</sup>lt;sup>24</sup>https://www.politico.com/news/2020/11/17/wayne-county-michigan-election-certification-437181

(Lockhart 2021; Martin and McCrain 2019), the tasks demanded of local officials grow more complex, and contestation rates remain low. These factors conspire to make appointments a clear choice over elections in many cases, especially in the local context. In short, knowledge, information, and expertise matter—and sometimes democracy works best when it does not let voters make all the decisions.

It is worth noting that appointing public officials does not guarantee desirable outcomes and that elections play an important role in the democratic process. In the 1960s, counties in the South eliminated elected offices in the wake of the Voting Rights Act for the express purpose of maintaining white power (Komisarchik 2018). The politicization of appointing authorities is emerging as a concern once again. For instance, several recently enacted bills in Georgia have created highly partisan election boards, including some filled with election deniers. However, my results suggest that over a long period of time and across many states, counties have significantly boosted their voter turnout by switching from elected to appointed clerks.

Future work should consider other instances where elections fail to achieve their intended effects, with a goal of uncovering under what broader conditions public officials should be elected and appointed. This analysis suggests that the information environment, competitiveness conditions, and technical requirements of the office shapes the election vs. appointment trade-off. We also need better measures of accountability outcomes for public officials (Carreri and Payson 2021). Finally, scholarship should work to distinguish between public responsiveness and conflicts in principals' goals. Are appointments beneficial only when the desires of voters and elites align? How often do they diverge, and what factors make preference convergence more likely? Measuring which issues and to what degree elites and voters have differing preferences could go a long way to clarifying the contexts where appointments are preferable to elections.

<sup>&</sup>lt;sup>25</sup>https://www.washingtonpost.com/nation/2022/03/14/georgia-elections-fraud-purge/

These findings also inform ongoing an ongoing public debate over the best form of election administration in the United States. On top of the 60-year transition of hundreds of jurisdictions from electing to appointing their clerks documented in this paper, municipalities and counties across the country continue to actively consider changes in their clerk selection method. At a time when America's democracy has come under immense strain, it is more important than ever that the stewards of the democratic process are up to the task of administering our elections.

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### Online Appendix

Intended for online publication only.

#### Contents

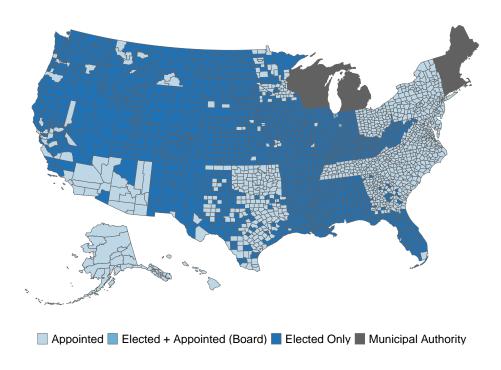
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### A.1 Local Election Official Selection Method Map

Figure A.1 displays the current selection method of each main election authority for every jurisdiction in the United States where elections are administered at the county level.

Figure A.1: Local Election Official Selection Method by County. This map displays the selection method of the central election authority for each county in the United States where elections are administered at the county-level, as of 2023. In counties where municipal jurisdictions have separate administrators, the selection method for the county official is reflected. Data is from Ferrer and Geyn (2023).



#### A.2 Descriptive Comparison of the Data Sample

Table A.1 compares counties within my sample of 13 states to counties in the 29 states that administer elections at the county level but that have not experienced any changes in selection method since 1960. Population, racial/ethnic demographics, and region are from the 2020 census. Democratic presidential vote share, voter turnout, and voter registration are from Leip's Election Atlas for the 2020 presidential election. Selection method data for the out-of-sample comparison is from Ferrer and Geyn (2023). Selection method for the in-sample data reflect administration for the 2020 general election.

Overall, there are few major differences between in-sample and out-of-sample counties. Counties within the sample look similar to other counties in the country in terms of population, partisanship, and voter participation. The sample is slightly more diverse than counties not in the sample, especially in terms of the share of Hispanics. It also consists of more Western and Midwestern states and no Northeastern states. Finally, counties in the sample are slightly less likely to appoint their clerks.

Table A.1: Description of Counties In and Not In Sample

	In Sample	Not In Sample
	(1)	(2)
Population (Thousdands)	110.83	103.00
( - 1 P	(430.09)	(246.45)
Dem Pres Vote Share	0.32	0.35
	(0.16)	(0.16)
Voter Turnout	0.62	0.64
	(0.11)	(0.09)
Voter Registration	0.86	0.90
	(0.10)	(0.11)
Share Non-Hispanic White	0.72	0.78
	(0.21)	(0.19)
Share Black	0.096	0.100
	(0.14)	(0.15)
Share Hispanic	0.13	0.07
	(0.18)	(0.09)
Northeast	0.00	0.14
Midwest	0.38	0.27
South	0.45	0.49
West	0.17	0.10
Share Appointed	0.37	0.44
Num Counties	1117	2016

Standard deviations are reported in parentheses below group means. Counties for the 8 states with municipal-level election administration (CT, MA, ME, MI, NH, RI, VT, WI) are not included in the out-of-sample descriptive characteristics. Together these account for less than 10 percent of the US population.

# A.3 Local Election Official Selection Method Changes by State

Table A.2 displays additional data on the elected and appointed local election entities used in the analysis for each state, as well as counts of the number of counties in each state, the number always appointed, the number always elected, the number switching from elected to appointed, the number switching from appointed to elected, and the number undergoing multiple switches. These counts are a tabular form of Figure 1. The table also includes the first and last year a clerk selection method switched in each state. All of this data is in reference to the years of analysis, 1960 to 2022. Three columns are of particular importance: elected to appointed, appointed to elected, and multiple switchers. The counties falling in these three categories within each state power the difference-in-difference analysis. As shown in the table, the number of counties shifting to appointments far exceeds the number switching to elections. Ignoring those switching multiple times, 333 counties have switched to appointing their election official since 1960, compared with 3 counties that switched to electing theirs. In other words, 99.1% of all switches in selection method have been from elections to appointments. When counting each switch separately (including counties with multiple switches), 93% of all switches in selection method have been in the direction of appointments.

Table A.2: Local Election Offical Selection Methods by State.

State	Elected Entity	Appointed Entity	Counties	Always Appointed	Always Elected	Elected to Appointed	Appointed to Elected	Multiple Switches	Year First Switch	Year Last Switch
Arizona	Election Administrator	Recorder	15	12	0	1	2	0	1997	2020
California	Clerk	Registrar of Voters / Clerk / Elections Commission	58	6	38	14	0	0	1970	2022
Georgia	Probate Judge	Board of Elections and Registration	159	0	28	129	0	2	1968	2022
Illinois	Clerk	Election Commission	102	0	93	0	0	1	1974	2016
Indiana	Clerk	Board of Election and Registration	92	0	89	3	0	0	1994	2020
Minnesota	Auditor	Auditor	87	0	39	48	0	0	1968	2022
Missouri	Clerk	Board of Election Commissioners / Director of Elections	115	3	110	1	0	0	1993	1994
Montana	Clerk and Recorder	Election Administrator / Clerk and Recorder	56	0	47	8	1	0	1977	2022
Nebraska	Clerk	Election Commissioner	93	2	86	2	0	3	1969	1996
Nevada	Clerk	Registrar of Voters	17	0	15	2	0	0	1966	1974
Oregon	Clerk	Elections Manager/Director	36	0	29	6	0	1	1964	1994
Texas	Clerk / District Clerk / Tax Assessor	Elections Administrator	254	0	118	119	0	17	1980	2022
Washington	Auditor	Elections Director	39	0	38	0	0	1	1969	2009

listed in order by frequency. Always appointed and always element for the control of the control

# A.4 Descriptive Comparison of Counties that Appoint vs. Elect Their Local Election Official

Table A.3 compares appointed and elected counties across the United States using the same data sources described in Section A.2 (see Ferrer and Geyn (2023) for a more in-depth comparison). Appointed counties are more than twice as populous on average as elected counties. They are also more Democratic, more racially and ethnically diverse, and more likely to be located in the Northeast and the South. Importantly, there are few differences in participation between counties that appoint and those that elect their clerk. Taken at face value, appointed counties have slightly lower voter turnout (62% vs. 63%) and voter registration rates (86% vs. 89%) than elected counties. This underscores the importance of using a credible research design to estimate causal effects from observational data.

Table A.3: Description of Appointed and Elected Counties

	Appointed (1)	Elected (2)
Population (Thousdands)	162.35 (441.84)	62.85 (219.78)
Dem Pres Vote Share	0.37 $(0.17)$	$0.30 \\ (0.15)$
Voter Turnout	0.62 $(0.09)$	0.63 $(0.10)$
Voter Registration	0.86 $(0.09)$	0.89 $(0.11)$
Share Non-Hispanic White	$0.70 \\ (0.21)$	0.78 $(0.20)$
Share Black	0.14 $(0.16)$	$0.08 \\ (0.14)$
Share Hispanic	0.10 $(0.13)$	$0.10 \\ (0.14)$
Northeast	0.17	0.00
Midwest	0.11	0.41
South	0.66	0.39
West	0.06	0.20
Num Counties	1092	1816

Standard deviations are reported in parentheses below group means. Counties for the 8 states with municipal-level election administration (CT, MA, ME, MI, NH, RI, VT, WI) are not included.

#### A.5 Participation Effects with Midterm Races

Table A.4 displays the results of a two-way fixed effects regression estimating the effects of directly electing a local election official on voter participation. In addition to presidential election results, this regression also includes data from midterm elections. The results are similar to those displayed in Table 1 in the main analysis and slightly more precise.

Table A.4: Appointing Local Election Officials Increases Citizen Participation (Presidential and Midtern Elections, 1968-2022)

	Vo	Voter Turnout			Registration Rate		
	(1)	(2)	(3)	(4)	(5)	(6)	
Appointed	0.019 (0.003)	0.019 (0.003)	0.014 (0.003)	0.009 (0.004)	0.010 (0.004)	0.008 (0.004)	
Counties	1114	1114	1114	938	938	938	
Elections	27	27	27	12	12	12	
Observations	29699	29699	29699	11226	11226	11226	
Outcome Mean	0.50	0.50	0.50	0.84	0.84	0.84	
County FEs	Yes	Yes	Yes	Yes	Yes	Yes	
Year x State FEs	Yes	No	No	Yes	No	No	
Year x State x Dem vs FEs	No	Yes	No	No	Yes	No	
Year x State x Pop FEs	No	No	Yes	No	No	Yes	

Robust standard errors clustered by county in parentheses. Voter turnout and registration rate are measured as proportions out of 1. The number of observations is smaller in columns 4-6 because Arizona and Georgia are excluded and because turnout data is available from 1968 but registration data is only available from 1996.

## A.6 Participation Effects with Alternative Administrative Data

Conflicts arose between administrative and web scrapped data in Texas and the main results included some data imputations for missing cells. Table A.5 shows that the main finding that appointed election officials increase voter participation is robust to alternative coding decisions privileging Public Information Act documents provided by the Texas Secretary of State over archival Secretary of State data web scrapped from the WayBackMachine and removing all data imputations.

Table A.5: Appointing Local Election Officials Increases Citizen Participation (Presidential Elections, 1968-2020, Public Information Act Preferenced)

	Vo	Voter Turnout			Registration Rate		
	(1)	(2)	(3)	(4)	(5)	(6)	
Appointed	0.021 (0.004)	0.021 (0.004)	0.017 (0.004)	0.011 (0.005)	0.013 (0.005)	0.009 $(0.005)$	
Counties	1114	1114	1114	938	938	938	
Elections	14	14	14	7	7	7	
Observations	15487	15487	15487	6546	6546	6546	
Outcome Mean	0.57	0.57	0.57	0.85	0.85	0.85	
County FEs	Yes	Yes	Yes	Yes	Yes	Yes	
Year x State FEs	Yes	No	No	Yes	No	No	
Year x State x Dem vote share FEs	No	Yes	No	No	Yes	No	
Year x State x Population FEs	No	No	Yes	No	No	Yes	

Robust standard errors clustered by county in parentheses. The number of observations is smaller in columns 4-6 because Arizona and Georgia are excluded and because turnout data is available from 1968 but registration data is available from 1996.

#### A.7 Exploring State and Office Heterogeneity

This section shows evidence that the main result is generalizable across states and offices. Table A.6 estimates the effects of appointing election officials on voter participation separately for each of the four state with at least 10 counties that have switched clerk selection methods since 1960. Those states are California, Georgia, Minnesota, and Texas. The results reveal statistically significant, precisely estimated, and substantively meaningful effects for Georgia, Minnesota, and Texas. The point estimate for California is slightly negative and imprecisely estimated. Interestingly, switching to appointed election officials in Georgia and Minnesota has an effect on turnout roughly double the size of the effect in Texas—3.2 and 2.7 percentage points compared with 1.4 percentage points, respectively.

Table A.6: Appointing Local Election Officials Increases Voter Turnout in Multiple States (Presidential Elections, 1968-2020)

	Voter Turnout						
	(1)	(2)	(3)	(4)			
Appointed	-0.003	0.032	0.027	0.014			
	(0.013)	(0.007)	(0.008)	(0.006)			
Counties	57	159	87	253			
Elections	14	14	14	14			
Observations	791	2226	1218	3535			
Outcome Mean	0.54	0.47	0.70	0.50			
State	CA	GA	MN	TX			
County FEs	Yes	Yes	Yes	Yes			
Year FEs	Yes	Yes	Yes	Yes			

Robust standard errors clustered by county in parentheses. States are included if at least 10 counties have switched between electing and appointing their local election official since 1960.

I also examine whether the effect holds across different statutory offices. Most directly elected election officials across the United States are county clerks. In my sample of 13 states, all elected election officials in Illinois, Indiana, Montana, Missouri, Nevada, and Oregon are clerks or hold clerk duties in addition to other titles. The same is true of almost

all elected election officials in California and Texas. All elected election officials in Arizona are recorders, which I group with clerks in this analysis due to their similar roles. A few Texas counties use elected tax assessors as their election official. Auditor is also a fairly common position for elected election officials. All elected election officials in Minnesota and Washington are auditors, as well as a small number of counties in California. Finally, in Georgia elected election officials are probate judges. Table A.7 shows that participation increases when appointed officials (the omitted category) replace elected auditors, clerks, and probate judges. The increase is largest when probate judges are replaced, and smallest when clerks are replaced. The point estimate for tax assessors is negative but is imprecisely estimated and relies on a relatively small set of observations.

<sup>&</sup>lt;sup>26</sup>District & county clerks, found in smaller Texas counties, are also pooled with clerks for parsimony.

Table A.7: Switching from Elected Auditors, Clerks, and Probate Judges to Appointed Officials Increases Citizen Participation (Presidential Elections, 1968-2020)

	Т	D = =: = t = = t : = ==
	Turnout	Registration
	(1)	(2)
Tax Assessor	-0.007	0.007
	(0.013)	(0.014)
Auditor	0.026	0.047
	(0.010)	(0.005)
Clerk	0.014	0.011
	(0.005)	(0.006)
Probate Judge	0.032	0.009
	(0.007)	(0.011)
Counties	1114	1112
Elections	14	7
Observations	15498	7761
Outcome Mean	0.57	0.83
County FEs	Yes	Yes
Year x State FEs	Yes	Yes

Robust standard errors clustered by county in parentheses. Point estimates are reversed for clarity, and thus show the effect of switching from each elected position to an appointed office on participation.

## A.8 Results by Clerk Selection Method Reform Mechanism

One threat to causal inference is that reforms to clerk selection methods caused by some specific mechanism—state legislature, county legislature, and/or county referendum—are not exogenous to an increase in citizen participation. This seems most likely for referenda. Perhaps initial voter participation in a referendum that caused a change in clerk selection method spurred more voter participation in future elections due to increases in political efficacy among the populace. Or, perhaps the places with stronger cultures of direct democracy are more likely to have a referendum on the matter. Another scenario is that counties with local backing in the change are more likely to equip their newly appointed clerk with the tools to succeed or choose reform at the moment when it is most needed, compared with places where the state legislature initiates the reform. In Table A.8, I run regressions separating counties that have experienced a reform into three categories according to the reform initiator: county legislature, county referendum, and state legislature. Each regression also includes all counties that did not experience a move into or out of treatment throughout the dataset ("always elected" and "always appointed").

The results show that both county and state legislature-initiated reform mechanisms lead to a boost in turnout. Counties whose legislatures decide to switch from elected to appointed clerks see 1.4 percentage points higher turnout in future presidential elections, on average. The effect is more than double—3.4 percentage points—when states initiate the reform. The result is slightly positive for county referendums but is imprecisely estimated. In short, the results hold across multiple reform mechanisms.

One related concern is that the reforms to clerk selection method that were initiated as part of the implementation or amendments to a county charter suffer from similar endogeneity issues. The bundled treatment nature of these cases could also mean that the turnout effects are due to other changes in county governance that happened to coincide with the

Table A.8: Appointing Local Election Officials Increases Citizen Participation Across Reform Mechanisms

	(1)	Voter Turnout (2)	(3)
Appointed	0.014 (0.005)	0.006 (0.016)	0.034 (0.007)
Counties	914	761	883
Elections	14	14	14
Observations	12736	10615	12323
Outcome Mean	0.58	0.60	0.58
Initiator	County Leg	County Referendum	State Leg
County FEs	Yes	Yes	Yes
Year x State FEs	Yes	Yes	Yes

Robust standard errors clustered by county in parentheses.

change to selection method. Table A.9 removes counties that changed their clerk selection method along with other amendments to their county charter. The results are similar to the main results shown in Table 1. Virtually all other reforms concerned only the clerk selection method itself or, in rare cases, a reorganization of a few county departments, and thus the turnout effects cannot be attributed to other state or local policy changes.

Table A.9: Finding that Appointing Local Election Officials Increases Citizen Participation is Robust to Removing County Charter Changes

	Voter Turnout			Registration Rate		
	(1)	(2)	(3)	(4)	(5)	(6)
Appointed	0.022 (0.004)	0.022 (0.004)	0.018 (0.004)	0.011 (0.005)	0.013 $(0.005)$	$0.009 \\ (0.005)$
Counties	1106	1106	1106	930	930	930
Elections	14	14	14	6	6	6
Observations	15386	15386	15386	6493	6493	6493
Outcome Mean	0.57	0.57	0.57	0.85	0.85	0.85
County FEs	Yes	Yes	Yes	Yes	Yes	Yes
Year x State FEs	Yes	No	No	Yes	No	No
Year x State x Dem vote share FEs	No	Yes	No	No	Yes	No
Year x State x Population FEs	No	No	Yes	No	No	Yes

Robust standard errors clustered by county in parentheses. Voter turnout and registration rate are measured as proportions out of 1. Counties that switched the selection method of clerk as part of a package of reforms to their county charter are removed.

# A.9 Examining Dynamic, Group, and Time Period Effects of Appointing Election Officials

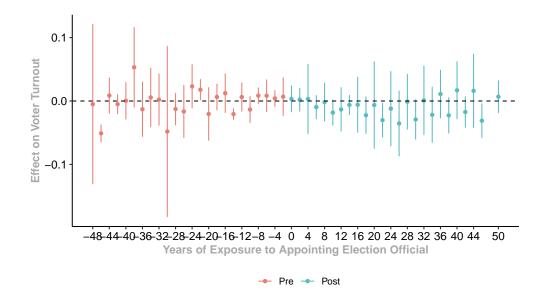
I use specifications from the Callaway and Sant'Anna (2021) estimator to examine dynamic, cohort, and time period effects of switching from elected to appointed clerks on presidential voter turnout. Because state-year fixed effects cannot be incorporated, I run separate estimations for each of the four states with at least 10 counties that have switched local election official selection methods since 1960: California, Georgia, Minnesota, and Texas. Dynamic effects for each of these states are visualized in Figures A.2, A.3, A.4, and A.5; cohort effects are visualized in Figures A.6, A.7, A.8, and A.9; and time period effects are visualized in Figures A.10, A.11, A.12, and A.13.

As seen in Figure A.14 in the main analysis, there appears to be increasing improvements to voter turnout over time for counties that switch to appointed administrators, relative to counties with elected officials. Figures A.2, A.3, A.4, and A.5 provide additional evidence for the dynamic effects of appointments on voter participation. There are two potential explanations for this: appointed officials increasingly outperform elected officials as their tenure increases, or the value of appointed officials over elected ones has grown over time. In the former scenario, institutional learning effects and start-up costs of switching selection methods mean appointed officials need the practice of administering a few elections to realize their full potential compared to elected officials. In the latter scenario, the declining ability of voters to adequately select and sanction elected officials combined with the increasing technical demands of the job and growing recruitment problems create a bigger gap between elected and appointed officials over time.<sup>27</sup>

Figures A.6, A.7, A.8, and A.9 display cohort treatment effects of the Callaway and Sant'Anna (2021) estimator. Although the estimates are noisy, they suggest that earlier adopters of appointed election officials have experienced stronger overall treatment effects

<sup>&</sup>lt;sup>27</sup>https://www.inquirer.com/politics/election/spl/pennsylvania-election-2020-officials-retiring-nightmare-20201221.html

Figure A.2: Average Effect of Appointed Election Officials on Voter Turnout by Length of Exposure to Appointing - California. Year 0 is the presidential election after a county's first switch from electing to appointing an election official. Each point is the estimated effect of appointing an election official on presidential voter turnout, at x years of exposure since first selecting the official via appointment. The lines above and below each point represent 95-percent confidence intervals. Red points indicate pre-treatment effects, blue points indicate treatment effects. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.



than more recent adopters. Figures A.10, A.11, A.12, and A.13 display time period effects of switching to appointing election officials. They suggest that the greater effect of earlier adopters is due to long-term accumulation rather than a diminishing instantaneous effect over time. In fact, in more recent decades the positive effects of appointed election officials on turnout has, if anything, increased. This could be interpreted as evidence of long-run dynamic gains in having appointed rather than elected officials run elections, as well as the idea that the gap in turnout produced by appointed and elected officials has grown.

Figure A.3: Average Effect of Appointed Election Officials on Voter Turnout by Length of Exposure to Appointing - Georgia. Year 0 is the presidential election after a county's first switch from electing to appointing an election official. Each point is the estimated effect of appointing an election official on presidential voter turnout, at x years of exposure since first selecting the official via appointment. The lines above and below each point represent 95-percent confidence intervals. Red points indicate pre-treatment effects, blue points indicate treatment effects. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

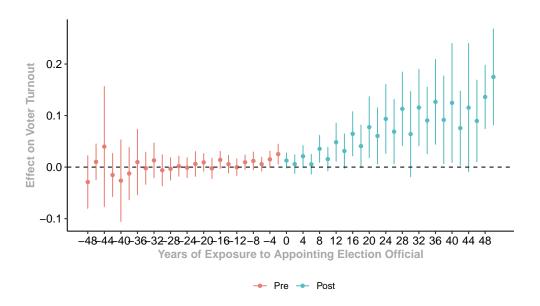


Figure A.4: Average Effect of Appointed Election Officials on Voter Turnout by Length of Exposure to Appointing - Minnesota. Year 0 is the presidential election after a county's first switch from electing to appointing an election official. Each point is the estimated effect of appointing an election official on presidential voter turnout, at x years of exposure since first selecting the official via appointment. The lines above and below each point represent 95-percent confidence intervals. Red points indicate pre-treatment effects, blue points indicate treatment effects. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

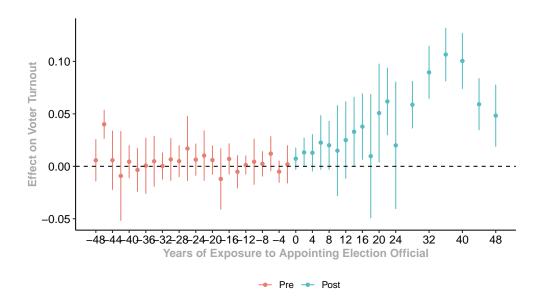


Figure A.5: Average Effect of Appointed Election Officials on Voter Turnout by Length of Exposure to Appointing - Texas. Year 0 is the presidential election after a county's first switch from electing to appointing an election official. Each point is the estimated effect of appointing an election official on presidential voter turnout, at x years of exposure since first selecting the official via appointment. The lines above and below each point represent 95-percent confidence intervals. Red points indicate pre-treatment effects, blue points indicate treatment effects. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

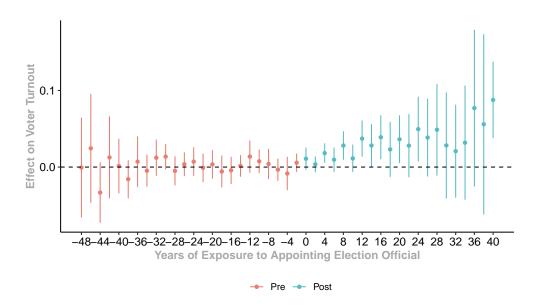


Figure A.6: Average Effect of Appointed Election Officials on Voter Turnout by Cohort Group - California. Each point is an estimate of the average group effect of appointing election officials on presidential voter turnout for counties that switch in the given cohort year. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

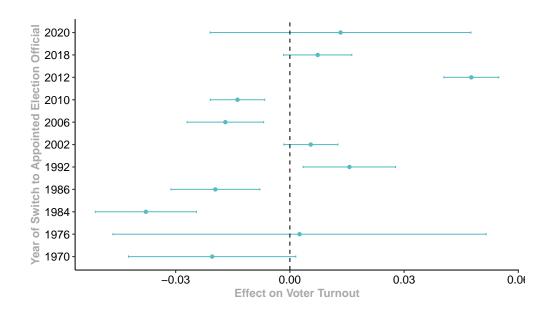


Figure A.7: Average Effect of Appointed Election Officials on Voter Turnout by Cohort Group - Georgia. Each point is an estimate of the average group effect of appointing election officials on presidential voter turnout for counties that switch in the given cohort year. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

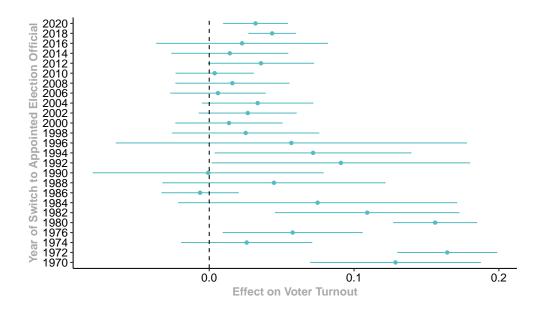


Figure A.8: Average Effect of Appointed Election Officials on Voter Turnout by Cohort Group - Minnesota. Each point is an estimate of the average group effect of appointing election officials on presidential voter turnout for counties that switch in the given cohort year. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

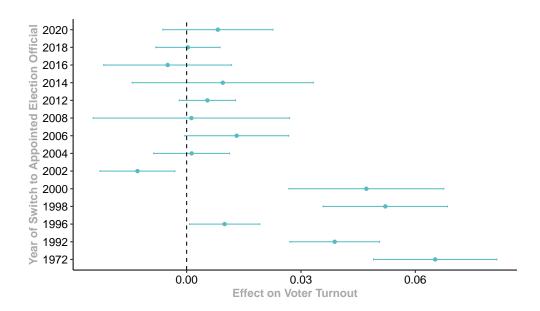


Figure A.9: Average Effect of Appointed Election Officials on Voter Turnout by Cohort Group - Texas. Each point is an estimate of the average group effect of appointing election officials on presidential voter turnout for counties that switch in the given cohort year. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

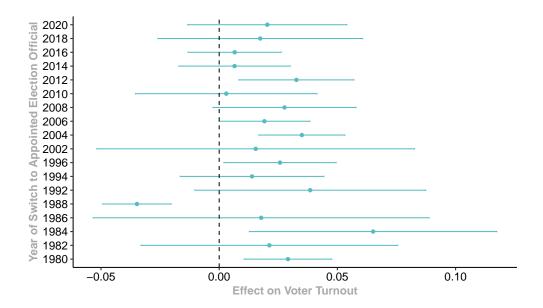


Figure A.10: Average Effect of Appointed Election Officials on Voter Turnout by Time Period - California. Each point is an estimate of the average time period effect of appointing election officials on presidential voter turnout. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

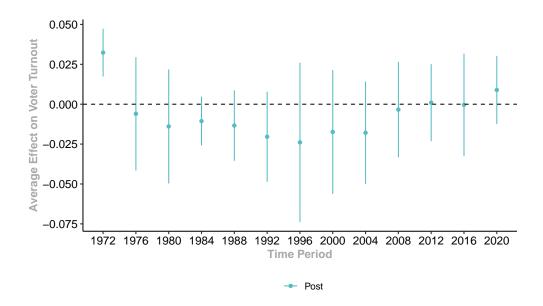


Figure A.11: Average Effect of Appointed Election Officials on Voter Turnout by Time Period - Georgia. Each point is an estimate of the average time period effect of appointing election officials on presidential voter turnout. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

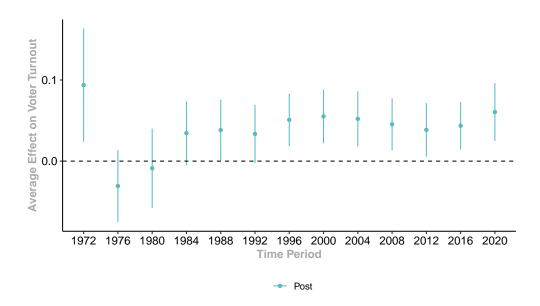


Figure A.12: Average Effect of Appointed Election Officials on Voter Turnout by Time Period - Minnesota. Each point is an estimate of the average time period effect of appointing election officials on presidential voter turnout. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.

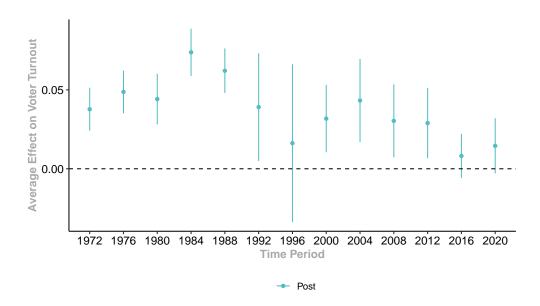
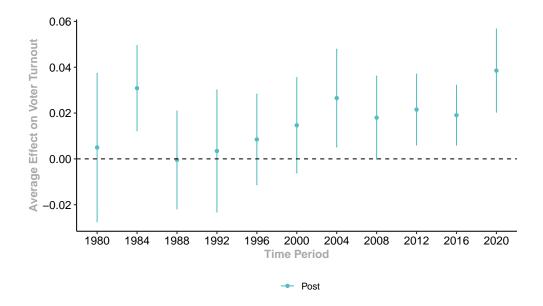


Figure A.13: Average Effect of Appointed Election Officials on Voter Turnout by Time Period - Texas. Each point is an estimate of the average time period effect of appointing election officials on presidential voter turnout. The lines above and below each point represent 95-percent confidence intervals. Estimates are from the Callaway and Sant'Anna (2021) estimator for dynamic two-way fixed effects designs, which corrects for bias due to heterogeneous treatment effects.



## A.10 Are the Results an Artifact of the Jim Crow South?

One concern is that registration and turnout rates of African-Americans in Southern states were artificially low in the earlier periods of the dataset due to the lingering effects of racially targeted barriers to the ballot box. Even though the Voting Rights Act passed in 1965, African-American registration rates in South continued to trail behind those of white voters until many decades later (Fraga 2018). For instance, African American and white registration rates in Louisiana did not achieve parity until 2000 (Keele, Cubbison, and White 2021). If counties that switch to appointments are more likely to have large African-American populations (Komisarchik 2018), then the inclusion of these earlier years in the dataset could confound the relationship between appointments and voter turnout.

Table A.10 displays three truncated cuts of the data: starting with the 1980 presidential election, the 1992 presidential election, and the 2000 presidential election. The main analysis displayed in Table 1 relies on turnout data beginning with the 1968 presidential election. Because registration data is only available from 1996, I focus on voter turnout here. The results show some evidence of diminishing effect sizes when only more recent data is considered. However, in all estimations the point estimates are substantively large and statistically distinguishable from zero. In the most restrictive analysis, considering data from 2000 onwards, counties that switch to appointed clerks are estimated to boost turnout by 0.9 percentage points.

Table A.10: Finding that Appointing Local Election Officials Increases Citizen Participation is Robust to Alternative Year Cutoffs

	Voter Turnout					
	(1)	(2)	(3)			
Appointed	0.015 (0.003)	0.012 (0.003)	0.009 (0.003)			
Counties	1114	1114	1114			
Elections	11	8	6			
Observations	12187	8875	6660			
Outcome Mean	0.57	0.57	0.57			
Year Cutoff	1980	1992	2000			
County FEs	Yes	Yes	Yes			
Year x State FEs	Yes	Yes	Yes			

Robust standard errors clustered by county in parentheses. Year cutoff indicates the first presidential election included in the analysis.

## A.11 Do Appointed Election Officials Follow Different Election Administration Policies?

Perhaps directly electing election officials curtails voter participation because the type of officers who run for office pursue different election administration policies than those who are appointed. Following Ferrer, Geyn, and Thompson (2023), I use the EAVS dataset to examine a number of election administration policies and I use CCES data on voter wait times.

Table A.11 displays the results of a two-way fixed effects regression of appointing election officials on the following county-level variables: number of polling places per 1,000 residents, provisional votes share, provisional rejection rate, absentee rejection rate, registration removal rate, and share of voters experiencing wait times greater than 30 minutes. While the nature of the data should caution against any definitive takeaways, there is no indication that appointed officials pursue election administrative policies that differ from those of directly elected officials. All of the estimates except for voter wait times are precisely estimated.

Table A.11: Appointed and Directly Elected Local Election Officials Pursue the Same Election Administration Policies (Presidential Elections, 2000-2020)

	Polling	Prov	Prov	Absentee	Reg	Wait
	Places	Share	Rejection	Rejection	Removal	Share
	(1)	(2)	(3)	(4)	(5)	(6)
Appointed	0.020 $(0.052)$	0.001 (0.001)	-0.029 (0.031)	-0.001 (0.004)	-0.006 (0.004)	0.001 (0.012)
Counties Elections (avg)	1115 4	1030 4	1001 4	1117 4	1117 4	891
Observations Outcome Mean County FEs Year x State FEs	3999	3816	3183	4832	4001	2081
	1.205	0.007	0.540	0.021	0.096	0.044
	Yes	Yes	Yes	Yes	Yes	Yes
	Yes	Yes	Yes	Yes	Yes	Yes

Robust standard errors clustered by county in parentheses. Columns 1 through 5 use EAVS survey data from the US Election Assistance Commission. Column 1 measures the number of polling places per 1,000 residents, column 2 the share of votes cast provisionally, column 3 the share of provisional ballots rejected, column 4 the share of absentee ballots rejected, and column 5 the share of registrants removed from the list. Column 6 measurers the share of voters in the CCES reporting a wait time of longer than 30 minutes.

#### A.12 Validating the Staggered Rollout Design

#### A.12.1 Validating the Staggered Rollout Design with Alternative Estimators

Table A.12 displays results from additional estimators designed to help overcome the methodological issues of the staggered adoption two-way fixed effects design. All estimators include county and year by state fixed effects. Column 1 is the same specification found in column 1 of Table 1. Column 2 excludes counties that switch from appointed to elected clerks, as they can be a source of bias. In the third specification, counties that are always "treated"—in this case, those that use appointments from the beginning of data availability—are excluded to avoid problematic comparisons in the estimation. The last two columns show the results of stacked difference-in-difference estimations (Cengiz et al. 2019). This is one technique that has been developed to eliminate biases in the two-way fixed effects estimator. Encouragingly, the point estimate grows with each additional best practice employed. The estimated effect of appointments on voter turnout is 2.3 percentage points once counties that switch to appointments and those that always appoint are excluded. Both of the stacked estimators produce point estimates that are at or above 2.5 percentage points. All specifications are precisely estimated.

Table A.12: Finding that Appointing Local Election Officials Increases Citizen Participation Is Robust to Alternative Estimators (Presidential Elections, 1968-2020)

	Voter Turnout				
	(1)	(2)	(3)	(4)	(5)
Appointed	0.021 (0.004)	0.023 $(0.004)$	0.023 $(0.004)$	0.035 $(0.006)$	0.025 $(0.004)$
Counties	1113	1082	1059	1059	851
Elections (avg)	61	61	61	58	17
Observations	15491	15109	14806	136702	41508
Outcome Mean	0.57	0.57	0.57	0.59	0.59
County FEs	Yes	Yes	Yes	Yes	Yes
Year x State FEs	Yes	Yes	Yes	Yes	Yes
App to Elect Excluded	No	Yes	Yes	Yes	Yes
Always Treated Excluded	No	No	Yes	Yes	Yes
Stacked DiD	No	No	No	Yes	Yes
Shortened Event Window	No	No	No	No	Yes

Robust standard errors clustered by county in parentheses. Column 1 is identical to the specification shown in column 1 of Table 1. Column 2 excludes 28 counties that switch from appointing to electing their clerks. Column 3 additionally excludes counties that have not elected their clerk since 1966. Column 4 implements a stacked difference-in-difference regression following the procedure described by Cengiz et al. 2019. Column 5 additionally shortens the event window for each county to within 8 years before its switch and within 16 years after its switch.

### A.12.2 Validating the Staggered Rollout Design with State-Specific Estimates

I run the de Chaisemartin and D'Haultfœuille (2020) and the Callaway and Sant'Anna (2021) estimators separately for each state with at least 10 counties that have switched their election official selection method since 1960. This is to ensure that the results are not biased by differential trending between states. The results are displayed in Tables A.13, A.14, A.15, and A.16. The de Chaisemartin and D'Haultfœuille (2020) estimator employs dynamic effects with placebos. The Callaway and Sant'Anna (2021) estimator employs dynamic effects after aggregating counties into cohorts that begin treatment at the same time. This estimator is very similar to the stacked difference-in-differences estimator displayed in column 4 of Table A.12. First, always treated units are removed from the dataset (i.e., counties that have appointed their election officials since at least 1960). This eliminates a handful of counties that were extremely early adopters of appointed election administrators. Next, each county's time period of first treatment is identified. The counties that switch from appointment to election are assigned to treatment even after their switch. Finally, those counties that are never treated (i.e., have always had elected election officials since 1960) are separated out as the "true control" by which each cohort can be compared with. Doing so avoids negative weights, thereby addressing the weighting problems of the simple two-way fixed effects estimator.

These tables further validate the main findings. All estimators for Georgia, Minnesota, and Texas return positive point estimates and are precisely estimated. The point estimates for California are slightly negative, but cannot be statistically distinguished from a null effect. In summary, these results validate the main finding that appointed local election officials increase participation.

Table A.13: Main Finding that Appointing Local Election Officials Increases Voter Turnout is Robust to Alternate Specifications - California

	Two-Way FEs	Voter Turnout de Chaisemartin and D'Haultfoeuille	Callaway and Sant'Anna
Appointed	-0.003	(2)	-0.009
пррошиос	(0.013)	(0.006)	(0.009)
Counties	57	57	51
Elections	14	14	14
Outcome Mean	0.54	0.54	0.54
County FEs	Yes	Yes	Yes
Year FEs	Yes	Yes	Yes

Robust standard errors clustered by county in parentheses.

Table A.14: Main Finding that Appointing Local Election Officials Increases Voter Turnout is Robust to Alternate Specifications - Georgia

	Voter Turnout				
	Two-Way	de Chaisemartin and	Callaway and		
	FEs	D'Haultfoeuille	Sant'Anna		
	(1)	(2)	(3)		
Appointed	0.032	0.015	0.073		
	(0.007)	(0.007)	(0.013)		
Counties	159	157	155		
Elections	14	14	14		
Outcome Mean	0.47	0.47	0.47		
County FEs	Yes	Yes	Yes		
Year FEs	Yes	Yes	Yes		

Robust standard errors clustered by county in parentheses.

Table A.15: Main Finding that Appointing Local Election Officials Increases Voter Turnout is Robust to Alternate Specifications - Minnesota

		Voter Turnout	
	Two-Way	de Chaisemartin and	Callaway and
	FEs	D'Haultfoeuille	Sant'Anna
	(1)	(2)	(3)
Appointed	0.027	0.015	0.042
	(0.008)	(0.004)	(0.006)
Counties	87	87	86
Elections	14	14	14
Outcome Mean	0.70	0.70	0.70
County FEs	Yes	Yes	Yes
Year FEs	Yes	Yes	Yes

Robust standard errors clustered by county in parentheses.

Table A.16: Main Finding that Appointing Local Election Officials Increases Voter Turnout is Robust to Alternate Specifications - Texas

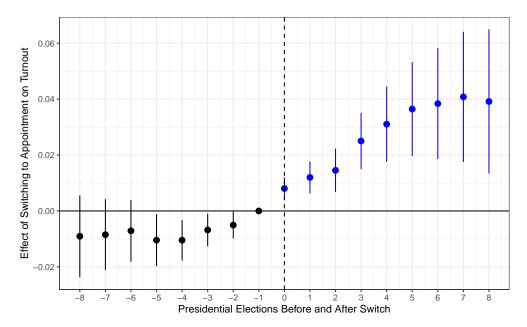
	Voter Turnout				
	Two-Way	de Chaisemartin and	Callaway and		
	FEs	D'Haultfoeuille	Sant'Anna		
	(1)	(2)	(3)		
Appointed	0.014	0.011	0.034		
	(0.006)	(0.002)	(0.009)		
Counties	253	253	236		
Elections	14	14	14		
Outcome Mean	0.50	0.50	0.50		
County FEs	Yes	Yes	Yes		
Year FEs	Yes	Yes	Yes		

Robust standard errors clustered by county in parentheses.

### A.13 Testing the Parallel Trends Assumption with an Event Studies Estimator

I investigate the validity of the parallel trends assumption using the Dube et al. (2022) local projections difference-in-differences event studies estimator. This estimator makes a series of pooled two-period two-group comparisons, estimating period-by-period effects and eliminating biases due to heterogeneous treatment effects. Figure A.14 plots the results. The x-axis marks the presidential elections before and after a switch in local administration, with 0 marking the first election under an appointed clerk. Each point estimate is the difference in the change in turnout from the previous election of counties with appointed election officials rather than elected ones, at x presidential elections before or after each county's actual switch. Negative coefficients in the left half of the graph suggest some pre-trending. In other words, it appears that counties that switched to appointing clerks may already have been on a trajectory of higher turnout. There is a substantial and statistically significant jump in voter turnout in the first presidential election after a county switches to appointments (0 on the x-axis). There also appears to be a strong dynamic effect on turnout after counties switch their method of clerk selection, evidenced by an increasing trend in the right half of the graph. I explore explanations for this in Section A.9.

Figure A.14: Dube et al. (2022) Local Projections Difference-in-Differences Estimate of Effect of Appointing an Election Official on Voter Turnout. Year 0 is the presidential election after a county's first switch from electing to appointing an election official. Each point is the estimated effect of appointing an election official on presidential voter turnout, at x presidential elections of exposure since first selecting the official via appointment. The bar lines above and below each point represent 95-percent confidence intervals. Estimates use the Dube et al. (2022) local projections difference-in-differences estimator for dynamic heterogeneous-robust difference-in-difference designs, which corrects for bias due to heterogeneity in year and county treatment effects.



## A.14 Generalized Synthetic Control Regression Output

Table A.17 displays regression output from the Xu (2017) generalized synthetic control estimator, matching treatment and control counties with similar pretreatment turnout histories to create balance. This method relies on strictly fewer assumptions than the difference-in-differences estimator and allows for a relaxation of the parallel trends assumption. The point estimate in Table A.17 is 2.1%, in line with those found in Table 1 in the main analysis, and it is precisely estimated.

Table A.17: Main Finding that Appointing Local Election Officials Increases Voter Turnout is Robust to Generalized Synthetic Control Estimator

	Voter Turnout (1)
Appointed	0.021 $(0.004)$
Counties	1022
Elections	14
Observations	14308
Outcome Mean	0.57

Generalized synthetic control method matches treated and control counties on pretreatment voter turnout.

# A.15 Appointing Election Officials Boosts Registration Rates More when Their Duties Specifically Include Registration

In most states, the switch from elected to appointed election officials involves both registration administration and voting administration duties. In Arizona and Georgia, the shift only impacts voting administration; registration duties are primarily carried out by separate appointed officials. It is possible that election administrators in these states impact registration rates by referring individuals to registration officials or providing a better overall voting experience. However, if appointed officials outperform their elected counterparts, we should expect to see a larger effect on registration rates when the official directly in charge of registration duties switches from elected to appointed. Table A.18 displays the results of a placebo estimation on whether the switch to appointed election officials boosts voter registration rates for the four states with at least 10 counties that have switched between electing and appointing their local election official: California, Georgia, Minnesota, and Texas. We should observe greater effects of appointed administration on registration rates in California, Minnesota, and Texas than in Georgia.

While the point estimate is positive for all four states, it is largest in California and Texas, and only statistically significant in the case of Texas. Overall, the evidence is suggestive but not conclusive that counties experience a larger boost to registration rates when the official directly in charge of registration duties switches from an elected to an appointed position.

Table A.18: Appointing Election Officials Boosts Registration Rates More when Their Duties Specifically Inlcude Registration (Presidential Elections, 1996-2020)

		Registra	ation Rate	)
	(1)	(2)	(3)	(4)
Appointed	0.019	0.009	0.003	0.012
	(0.015)	(0.011)	(0.006)	(0.006)
Counties	57	159	87	253
Elections	7	7	7	7
Observations	399	1107	609	1771
Outcome Mean	0.69	0.71	0.87	0.81
State	CA	GA	MN	TX
County FEs	Yes	Yes	Yes	Yes
Year FEs	Yes	Yes	Yes	Yes
Reg Switch	Yes	No	Yes	Yes

Robust standard errors clustered by county in parentheses. States are included if at least 10 counties have switched between electing and appointing their local election official since 1960.

# A.16 Finding that Appointed Election Officials Are Similar in Quality to Elected Officials Is Robust to Alternative Specification

Table A.19 shows that using a jurisdiction size fixed effect rather than logged population and logged population square controls returns similar results to those shown in 5.3. Because the original survey included zip code rather than county, an imputation exercise was required to back out county identifiers for each respondent, which were then matched with 2020 census data. The jurisdiction size fixed effect is original to the 2020 Democracy Fund/Reed College Survey, and thus of higher reliability.

Table A.19: Appointed Local Election Officials Are Similar in Quality to Elected Officials - Alternative Population Controls

	Tenure (Years) (1)	Years in administration (2)	Education (3)	Professional Memberships (4)	Served Elsewhere (5)
Appointed	-2.089 (1.294)	-2.485 (1.873)	0.288 (0.194)	-0.012 (0.075)	0.027 $(0.041)$
States	44	44	44	44	44
Observations	717	672	599	718	682
Outcome Mean	8.74	15.15	2.86	1.18	0.14
State FEs	Yes	Yes	Yes	Yes	Yes
Jurisdiction Size FEs	Yes	Yes	Yes	Yes	Yes

Robust standard errors clustered by state in parentheses. Data is from the 2020 Democracy Fund/Reed College Survey of Local Election Officials and is filtered to only include chief local election officials. Jurisdiction size fixed effects are derived directly from survey responses and break down into five categories: 0 to 5,000 people, 5,001 to 25,000 people, 25,001 to 100,000 people, 100,001 to 250,000 people, and greater than 250,000 people. Observations are weighted to be representative of the population of local election officials. Column 2 measures average experience working in election administration. Column 3 measures educational attainment on a 5-point scale: high school, some college, college, some graduate school, and graduate school. Professional memberships counts the number of memberships among the following four organizations: state association of local election officials, regional and/or local association of election officials, the Election Center (National Association of Election Officials), and the International Association of Government Officials (iGO). Column 5 measures whether clerks have served as election officials in other jurisdictions.

Table A.20 includes the coefficients for log pop and log pop squared that were omitted in Table 5 in the main text.

Table A.20: Appointed Local Election Officials Are Similar in Quality to Elected Officials—Full

	Tenure (Years) (1)	Years in administration (2)	Education (3)	Professional Memberships (4)	Served Elsewhere (5)
Appointed	-1.884 (1.205)	-2.181 (1.743)	0.336 (0.209)	0.039 (0.085)	0.028 (0.043)
Log pop	6.316 $(3.748)$	6.085 $(4.739)$	0.589 $(0.573)$	-0.101 $(0.672)$	0.017 $(0.188)$
Log pop squared	-0.277 $(0.174)$	-0.233 (0.202)	-0.020 (0.025)	0.010 $(0.030)$	0.001 $(0.008)$
States Observations Outcome Mean State FEs	44 698 8.76 Yes	44 653 15.25 Yes	44 581 2.86 Yes	44 699 1.17 Yes	44 664 0.15 Yes

Robust standard errors clustered by state in parentheses. Data is from the 2020 Democracy Fund/Reed College Survey of Local Election Officials and is filtered to only include chief local election officials. County is imputed from zip code to calculate population controls. Observations are weighted to be representative of the population of local election officials. Column 1 measures tenure with right-truncated values of greater than 20 years at 20 years and left-truncated values of less than one year as 0 years. Column 2 measures average experience working in election administration. Column 3 measures educational attainment on a 5-point scale: high school, some college, college, some graduate school, and graduate school. Professional memberships counts the number of memberships among the following four organizations: state association of local election officials, regional and/or local association of election officials, the Election Center (National Association of Election Officials), and the International Association of Government Officials (iGO). Column 5 measures whether clerks have served as election officials in other jurisdictions.